

Accurate Segmentation of Hyperspectral Images Using Deep Neural Networks—Are We There Yet?

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Introduction

About us



HYPERspectral image segmentation using deep neural **NET**works

HYPERNET

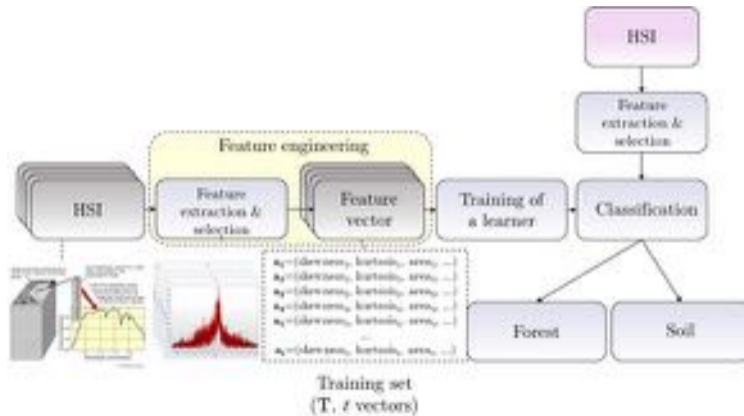
May 2018—May 2019

Polish Industry Incentive Scheme

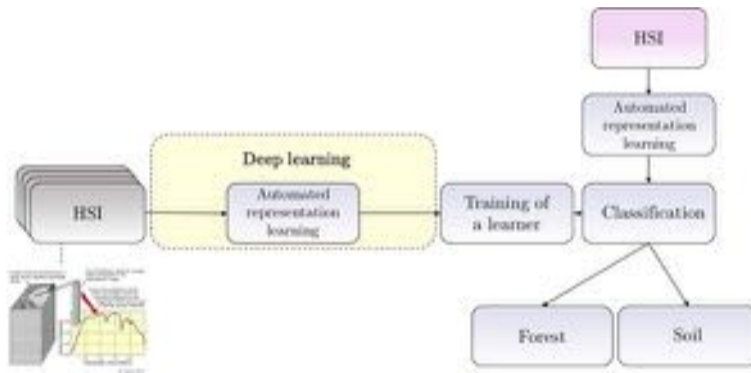




Segmentation of HSI—conventional machine learning



Segmentation of HSI—deep learning



Deep networks in the wild...

- **Hyperspectral image segmentation**
- Medical imaging
- Object detection
- Text classification
- Temporal and time-series analysis
- Self-driving cars
- Music composition
- Real-time analysis of behaviors
- Translation
- Speech recognition
- Language modeling
- Document summarization
- ...

Deploying a deep neural network in the wild



Deploying a deep neural network in the wild

The roadmap

- Design a topology
- Select hyper-parameters
- Train the network



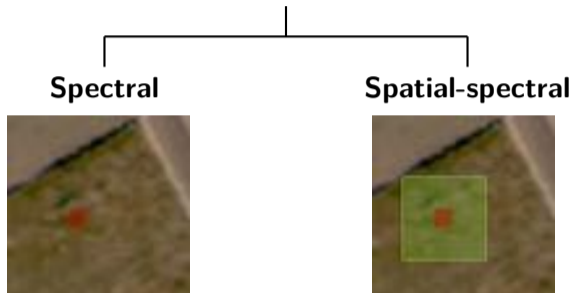
Deploying a deep neural network in the wild

The roadmap

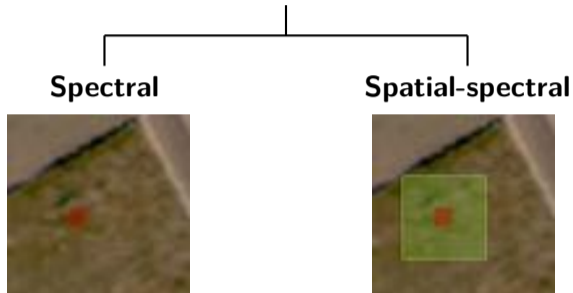
- Design a topology
 - Select hyper-parameters
 - Train the network
- **Topology:** P. Ribalta and J. Nalepa, pp 505-512, Proc. GECCO, ACM 2018.
 - **Hyper-params:** P. Ribalta and J. Nalepa, et al., pp 481-488, Proc. GECCO, ACM 2017.
 - **Hyper-params:** P. Ribalta and J. Nalepa, et al., pp 1864-1871, Proc. GECCO, ACM 2017.



Deep networks for hyperspectral image segmentation



Deep networks for hyperspectral image segmentation



(Selected) Open issues:

- Validation of hyperspectral image segmentation algorithms
- Resouce-frugality of deep neural networks
- Robustness of deep neural networks

Validation of hyperspectral image segmentation algorithms

Selection of training, validation, and test sets

**How to select training, validation, and test sets?
What are the benchmark (ground-truth) datasets?**

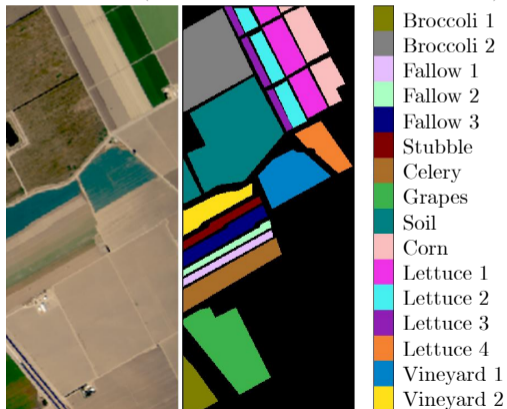
Selection of training, validation, and test sets

| Method | Datasets* | Settings |
|--|-------------------------|-------------|
| Multiscale superpixels (Dundar & Ince, 2018) | IP, PU | Random |
| Watershed + SVM (Tarabalka et al., 2010) | PU | Arbitrary |
| Clustering (SVM) (Bilgin et al., 2011) | Washington DC, PU | Full image |
| Multiresolution segm. (Amini et al., 2018) | Three in-house datasets | Random |
| Region expansion (Li et al., 2018) | PU, Sa, KSC | Full image |
| DBN (spatial-spectral) (Li et al., 2014) | HU | Random |
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| Deep autoencoder (Chen et al., 2014) | KSC, PU | Monte Carlo |
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| DBN (spectral) (Zhong et al., 2017) | IP, PU | Random |
| RNN (spectral) (Mou et al., 2017) | PU, HU, IP | Random |
| CNN (Santara et al., 2017) | IP, Sa, PU | Random |
| CNN (Lee & Kwon, 2017) | IP, Sa, PU | Monte Carlo |
| CNN (Gao et al., 2018) | IP, Sa, PU | Monte Carlo |
| CNN (Ribalta et al., 2018) | Sa, PU | Random |

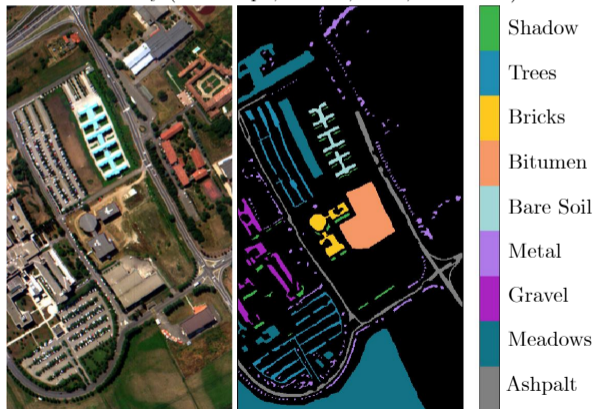
* IP—Indian Pines; PU—Pavia University; Sa—Salinas; KSC—Kennedy Space Center; HU—Houston University; PC—Pavia Centre; Bo—Botswana

Benchmark hyperspectral images—examples

Salinas Valley (512x217px, AVIRIS, 3.7m, 224 bands)



Pavia University (610x340px, ROSIS, 1.3m, 103 bands)



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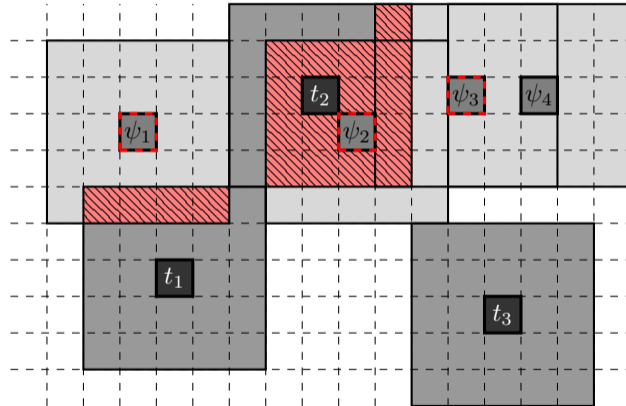
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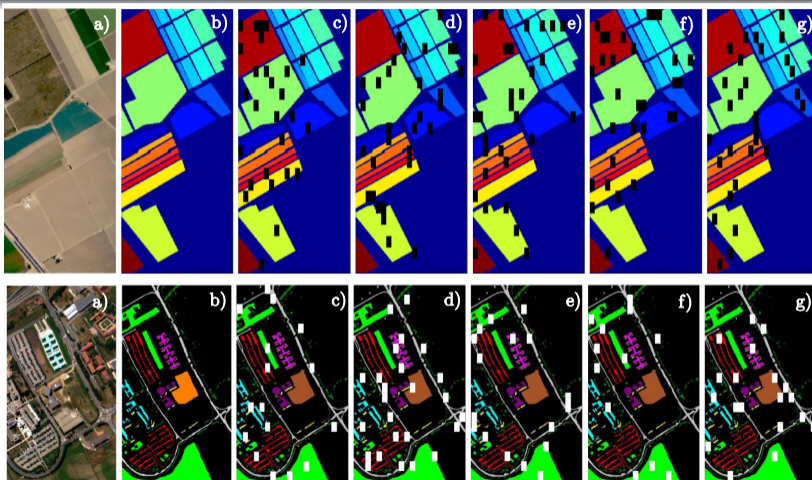
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Training-test information leak



Training (t_i) and test (ψ_i) pixels with their spatial neighborhoods.

Patch-based training-test splits

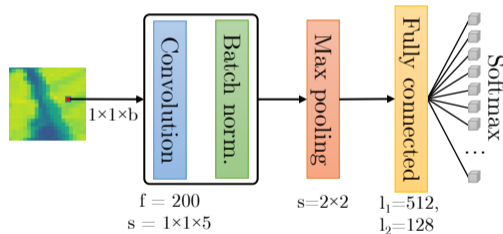


a) True-color composite, b) ground-truth, c)–g) 5 folds (black/white patches are for training).

Do train-test splits matter (Monte-Carlo vs. Patch-based)?

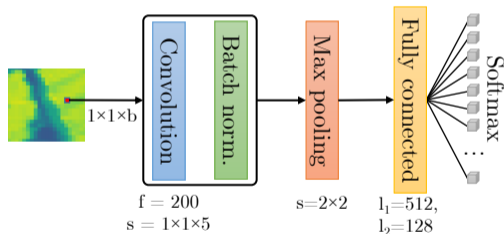
Do train-test splits matter (Monte-Carlo vs. Patch-based)?

- Deep neural network architectures
 - **Spatial-spectral** (3D) CNN (Gao et al., Remote Sens., 2018)
 - **Spectral** (1D) CNN



Do train-test splits matter (Monte-Carlo vs. Patch-based)?

- Deep neural network architectures
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 - **Spectral** (1D) CNN



- Training-test subset cardinalities: as in Gao et al., 2018 (balanced and imbalanced)

Sneak-peek from the results (Salinas Valley)...

| Algorithm | Fold | C1 | C2 | C3 | C4 | C5 | C6 | C7 | C8 | C9 | C10 | C11 | C12 | C13 | C14 | C15 | C16 | OA | AA |
|-----------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3DcP | 1 | 85.69 | 97.39 | 0 | 0 | 90.21 | 0 | 0 | 81.59 | 91.02 | 92.09 | 91.09 | 0 | 46.72 | 83.42 | 78.64 | 0.06 | 58.69 | 51.87 |
| 3DcP | 2 | 99.64 | 99.07 | 47.88 | 98.78 | 0 | 96.10 | 91.54 | 96.65 | 96.60 | 93.34 | 92.78 | 97.7 | 96.43 | 96.8 | 2.92 | 0 | 72.32 | 75.58 |
| 3DcP | 3 | 99.89 | 41.27 | 30.71 | 98.23 | 78.12 | 99.88 | 97.25 | 84.03 | 98.67 | 67.94 | 79.37 | 92.17 | 99.63 | 43.02 | 71.15 | 77.73 | 79.8 | 78.63 |
| 3DcP | 4 | 98.12 | 96.80 | 43.78 | 12.73 | 0 | 100 | 97.9 | 59.18 | 6.11 | 98.35 | 0 | 93.71 | 99.07 | 87.53 | 90.76 | 12.79 | 61.96 | 62.17 |
| 3DcP | 5 | 99.11 | 41.75 | 77.06 | 97.21 | 87.54 | 100 | 97.37 | 72.26 | 95.05 | 78.7 | 83.99 | 98.85 | 58.45 | 0 | 65.53 | 74.62 | 77.81 | 77.4 |
| 3DcP | Avg | 96.19 | 75.15 | 39.83 | 61.61 | 55.79 | 79.21 | 76.81 | 74.84 | 78.14 | 85.09 | 71.56 | 78.49 | 80.86 | 62.15 | 65.8 | 33 | 69.72 | 69.09 |
| 3DcB | — | 99.84 | 99.3 | 93.88 | 99.93 | 97.51 | 99.98 | 99.71 | 82.82 | 89.17 | 98.71 | 99.42 | 99.79 | 99.75 | 99.73 | 82.83 | 99.98 | 93.04 | 96.91 |
| 3DcB | — | 99.17 | 99.21 | 91.72 | 99.58 | 97.37 | 99.97 | 99.73 | 98.63 | 99.68 | 96.28 | 96.46 | 99.52 | 99.56 | 99 | 80.43 | 96.52 | 94.27 | 96.51 |
| 3D [16] | — | 100 | 99.92 | 99.85 | 99.78 | 99.07 | 99.97 | 99.79 | 94.28 | 99.07 | 99.63 | 99.85 | 100 | 100 | 99.91 | 97.4 | 100 | 99.34 | 99.33 |
| 3DcP | 1 | 94.62 | 99.23 | 0 | 0 | 98.5 | 0 | 0 | 73.83 | 91.1 | 91.51 | 87.11 | 45.62 | 98.31 | 88.52 | 67.32 | 0.89 | 66.65 | 58.52 |
| 3DcP | 2 | 97.84 | 78.77 | 58.94 | 99.32 | 29.96 | 99.71 | 99.41 | 95.18 | 94.81 | 91.54 | 88.92 | 99.09 | 82.73 | 97.35 | 4.68 | 0 | 73.27 | 76.29 |
| 3DcP | 3 | 99.63 | 70.59 | 33.61 | 98.51 | 98.03 | 99.91 | 99.36 | 82.98 | 96.75 | 69.15 | 89.33 | 97.68 | 99.29 | 81.78 | 59.53 | 88.04 | 83 | 85.39 |
| 3DcP | 4 | 97.49 | 99.83 | 55.5 | 98.46 | 0 | 98.76 | 99.56 | 19.37 | 67.57 | 92.72 | 0 | 86.24 | 97.67 | 90.55 | 90.22 | 18.15 | 63.9 | 69.59 |
| 3DcP | 5 | 35.99 | 19.97 | 20.53 | 33.29 | 5.59 | 98.8 | 69.61 | 88.04 | 8.7 | 21.01 | 97.39 | 28.65 | 0 | 3.41 | 5.83 | 40.19 | 33.71 | |
| 3DcP | Avg | 85.91 | 73.88 | 33.72 | 65.92 | 46.42 | 79.63 | 73.59 | 72.16 | 73.87 | 73.11 | 72.51 | 71.06 | 75.8 | 72.08 | 45.63 | 22.54 | 64.2 | 64.7 |
| 3DcB | — | 93.74 | 94.42 | 85 | 98.47 | 83.19 | 99.53 | 98.98 | 59.65 | 92.89 | 85.37 | 90.87 | 88.94 | 91.9 | 92.71 | 63.33 | 93.93 | 86.87 | 88.12 |
| 3DcB | — | 93.78 | 96.13 | 76.46 | 97.68 | 81.95 | 99.34 | 99.21 | 83.12 | 97.55 | 81 | 98.03 | 93.89 | 97.72 | 88.28 | 43.14 | 89.01 | 83.57 | 86.7 |

OA—overall accuracy, AA—average accuracy

Sneak-peek from the results (Salinas Valley)...

| Algorithm | Folds | C1 | C2 | C3 | C4 | C5 | C6 | C7 | C8 | C9 | C10 | C11 | C12 | C13 | C14 | C15 | C16 | OA | AA |
|-----------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 3DcFs | 1 | 85.69 | 97.39 | 0 | 0 | 90.21 | 0 | 0 | 81.59 | 91.02 | 92.09 | 91.09 | 0 | 46.72 | 83.42 | 78.64 | 0.86 | 58.69 | 51.87 |
| 3DcFs | 2 | 99.64 | 99.07 | 47.88 | 98.78 | 0 | 96.10 | 91.54 | 96.65 | 96.60 | 93.34 | 92.78 | 97.7 | 96.43 | 96.8 | 2.92 | 0 | 72.32 | 75.58 |
| 3DcFs | 3 | 99.89 | 41.27 | 39.71 | 98.23 | 78.12 | 99.88 | 97.25 | 84.03 | 98.67 | 67.94 | 79.37 | 93.17 | 99.63 | 43.02 | 71.15 | 77.73 | 79.8 | 78.63 |
| 3DcFs | 4 | 98.12 | 96.80 | 43.78 | 12.73 | 0 | 100 | 97.9 | 59.18 | 6.11 | 98.35 | 0 | 93.71 | 99.07 | 87.53 | 90.76 | 12.79 | 61.90 | 62.17 |
| 3DcFs | 5 | 99.11 | 41.75 | 77.06 | 97.21 | 87.54 | 100 | 97.37 | 72.26 | 95.05 | 78.7 | 83.99 | 98.85 | 58.45 | 0 | 65.53 | 74.62 | 77.81 | 77.4 |
| 3DcFs | Avg | 96.19 | 75.25 | 39.83 | 61.61 | 55.79 | 79.21 | 76.81 | 74.84 | 78.14 | 85.09 | 71.56 | 78.49 | 80.86 | 62.15 | 65.8 | 33 | 69.72 | 69.09 |
| 3DcB1 | — | 99.84 | 99.3 | 98.88 | 99.93 | 97.51 | 99.98 | 99.71 | 82.82 | 89.17 | 98.71 | 99.42 | 99.79 | 99.75 | 99.73 | 82.83 | 99.98 | 93.04 | 96.91 |
| 3DcB1 | — | 99.17 | 99.21 | 91.72 | 99.58 | 97.37 | 99.97 | 99.73 | 98.63 | 99.68 | 96.28 | 96.46 | 99.52 | 99.56 | 99 | 80.43 | 96.72 | 94.27 | 96.51 |
| 3D [16] | — | 100 | 99.92 | 99.85 | 99.78 | 99.07 | 99.97 | 99.79 | 94.28 | 99.07 | 99.63 | 99.85 | 100 | 100 | 99.91 | 97.4 | 100 | 99.34 | 99.33 |
| 3DcFs | 1 | 94.62 | 99.23 | 0 | 0 | 98.5 | 0 | 0 | 73.83 | 91.1 | 91.51 | 87.11 | 45.62 | 98.31 | 88.52 | 67.32 | 0.89 | 66.65 | 58.52 |
| 3DcFs | 2 | 97.84 | 78.77 | 58.94 | 99.32 | 29.96 | 99.71 | 99.41 | 95.18 | 94.81 | 91.54 | 88.92 | 99.09 | 82.73 | 97.35 | 4.68 | 0 | 73.27 | 76.29 |
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| 3DcFs | 5 | 35.99 | 19.97 | 20.53 | 33.29 | 5.59 | 98.8 | 69.61 | 88.04 | 8.7 | 21.81 | 97.39 | 28.65 | 0 | 3.41 | 5.83 | 40.19 | 33.71 | |
| 3DcFs | Avg | 85.91 | 73.88 | 33.72 | 65.92 | 46.42 | 79.63 | 73.59 | 72.16 | 71.87 | 73.11 | 72.51 | 71.06 | 75.8 | 72.08 | 45.63 | 22.54 | 64.2 | 64.7 |
| 1DcB1 | — | 93.74 | 94.42 | 85 | 98.47 | 83.19 | 99.53 | 98.98 | 59.65 | 92.89 | 85.37 | 90.87 | 88.94 | 91.9 | 92.71 | 63.33 | 93.93 | 86.87 | 88.12 |
| 1DcB1 | — | 93.78 | 96.13 | 76.46 | 97.68 | 81.95 | 99.34 | 99.21 | 83.12 | 97.55 | 81 | 98.60 | 93.89 | 97.72 | 88.28 | 43.14 | 89.03 | 83.57 | 86.7 |

OA—overall accuracy, AA—average accuracy

Conclusion: Monte-Carlo cross-validation renders over-optimistic insights into classification performance.

For more results, see J. Nalepa et al., <https://arxiv.org/abs/1811.03707>, 2018 (submitted to IEEE Geoscience and Remote Sensing Letters)

Resource-frugality of deep neural networks

Hardware-constrained environment

Reduction of:

- Memory footprint
- Inference time

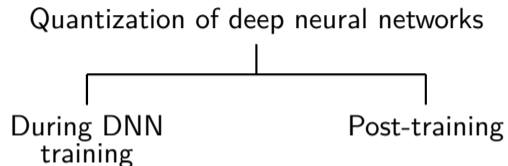
Hardware-constrained environment

Reduction* of:

- Memory footprint
- Inference time

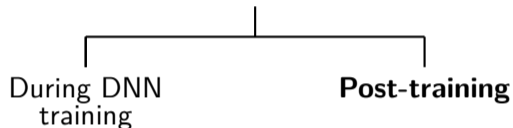
***Without** adversely affecting classification performance. . .

Quantization of deep neural networks

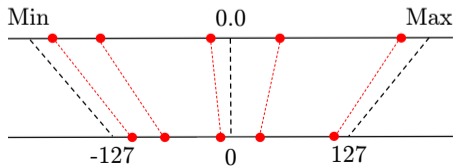


Quantization of deep neural networks

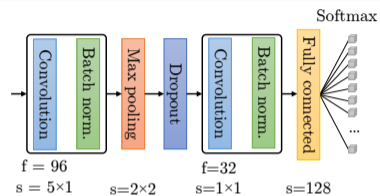
Quantization of deep neural networks



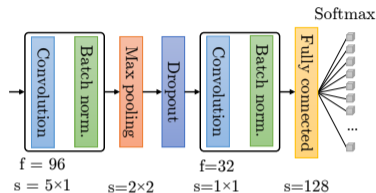
- Prune redundant network nodes
- Compress constants
- Map weights/parameters to 8-bit precision



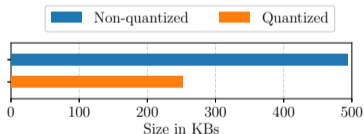
Sneak-peek from the results. . .



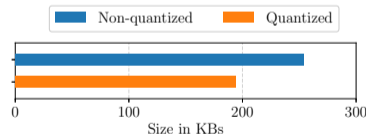
Sneak-peek from the results. . .



Model sizes for Salinas Valley dataset



Model sizes for Pavia University dataset



OA: 84.62% \rightarrow 83.45% (drop of 1.17%) OA: 78.30% \rightarrow 77.02% (drop of 1.28%)

For more results, see: P. Ribalta, M. Marcinkiewicz, J. Nalepa, Proc. IEEE DSD, pp 260-267, 2018.

Robustness of deep neural networks

Are deep networks robust (against noise)?

Simulation: Gaussian noise, SNR wavelength-dependent

Deep network: Spatial-spectral CNN



(Thanks to Adam Popowicz for the noise model.)

Are deep networks robust (against noise)?

Simulation: Gaussian noise, SNR wavelength-dependent

Deep network: Spatial-spectral CNN

OA: 90.59%



OA: 31.90%



OA: 48.39%



65.78%



(Thanks to Adam Popowicz for the noise model.)

Conclusions

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- We are **not** there yet

Conclusions

- We are **not** there yet
- **Open issues** in hyperspectral image analysis
 - Lack of ground-truth data
 - Resource-frugality of deep neural nets for HSI segmentation
 - Robustness of deep neural nets for HSI segmentation
 - Better generalization for unseen data (→ better regularizers)
 - Efficient pre-/post-processing of HSI data (e.g., band selection)

HYPERNET—code and beyond



<https://github.com/ESA-PhiLab/hypernet>

HYPERNET Team: Jakub Nalepa, Michal Kawulok, Michal Myller,
Lukasz Tulczyjew, Marek Antoniak, Rafal Zogala

- State-of-the-art spectral and spatial-spectral deep nets for HSI (and beyond)
- Band selection using **attention-based convolutional neural nets** (P. Ribalta, L. Tulczyjew, M. Marcinkiewicz, J. Nalepa, <https://arxiv.org/abs/1811.02667>, after the first round of reviews in IEEE Transactions on Geoscience and Remote Sensing)
- Data augmentation (generative adversarial nets, noise-based, ...)
- Visualization of HSI
- Patch-based benchmark generation, and more...

HYPERNET—code and beyond



<https://github.com/ESA-PhiLab/hypernet>

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- Data augmentation (generative adversarial nets, noise-based, . . .)
- Visualization of HSI
- Patch-based benchmark generation, and more . . .
- **All in Python (Keras/Pytorch)** with **Jupyter-notebook** examples

Wanting more? Drop me a line at jnalepa@ieee.org

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jnalepa@ieee.org



The ESA Earth Observation Φ -Week,
Frascati, Italy. November 14, 2018